

Notification of refusal to issue a compliance certificate under HSWA

Under regulation 6.23(2) of the Health and Safety at Work (Hazardous Substances) Regulations 2017

This form is for use by compliance certifiers when notifying their refusal to issue a compliance certificate. A copy of this form should be given to the person conducting a business or undertaking (PCBU). All fields are mandatory unless not applicable.

Email: healthsafety.notification@worksafe.govt.nz

Post: WorkSafe New Zealand, PO Box 165, Wellington 6140

1. Applicant/PCBU details

Legal name of applicant/PCBU:

New Zealand Business Number (NZBN): (for businesses only)

Email:

Phone:

Address where the hazardous substance is held: (if applicable)

Equipment ID: (if applicable)

2. Type of compliance certificate details

Please choose an item:

- Hazardous substances location
- Stationary container system
- Tank wagons and transportable containers
- Other: (please specify)

Quantity and class of hazardous substances: (if applicable)

3. Reasons for refusal

Date the compliance certifier considered a relevant requirement had not been met:

DD / MM / YEAR

What regulation (relevant requirement) has not been met by the applicant/PCBU:

Reasons for the refusal:

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Does the reason for refusal require WorkSafe to urgently consider the notification, and if so, why (for example, there is a serious risk to the health and safety of a person arising from an immediate or imminent exposure to the hazard associated with the refusal)? Yes No

Are there any photos or information supporting this refusal attached? Yes No

Is there further information available? Yes No

4. Compliance certifier details

Name:

Signature:

- [4.2 Issue of compliance certificates for certified handlers](#)
- [9.26 Compliance certificate for hazardous substance location](#)
- [9.36 Compliance certificate for outdoor pyrotechnic displays](#)
- [10.34 Requirement to have compliance certificate if class 2.1.1, 2.1.2, or 3.1 substance present at hazardous substance location](#)
- [10.36 Requirement to have compliance certificate if class 3.2 or 4 substance present at hazardous substance location](#)
- [12.17 Requirement to have compliance certificate for hazardous substance location](#)

- [12.42 Requirement to have compliance certificate for hazardous substance location](#)
- [13.38 Compliance certificate required for hazardous substance location](#)
- [15.66 Compliance certificate for approved fillers](#)
- [16.31 Requirements for issue of design compliance certificates](#)
- [16.34 Requirements for issue of pre-commissioning compliance certificates](#)
- [16.36 Requirements for issue and renewal of in-service compliance certificates](#)
- [17.91 Requirements for compliance certificate](#)
- [17.93 Certification of designs or fabricators](#)